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PTO/SB/30 (08-03)

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# Request For Continued Examination (RCE) Transmittal

Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/804,785
Filing Date	March 13, 2001
First Named Inventor	Russell E. Evans
Art Unit	2872
Examiner Name	Lee Fineman
Attorney Docket Number	07K8-101932

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

- a. ☒ Deposit Account No. 19-1853

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.138 and 1.17)

iii. ☐ Other \_\_\_\_\_

- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	James R. Brueggemann	Registration No. (Attorney/Agent)	28,286
Signature	<i>[Signature]</i>	Date	November 4, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	James R. Brueggemann	Date	November 4, 2003
Signature	<i>[Signature]</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. The collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-199 and select option 2.

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**SHEPPARD MULLIN**

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Date: December 24, 2003

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U.S. Patent and Trademark OfficeFacsimile No.

(703) 746-9218

Telephone No.

From: James R. Brueggemann, Esquire

Direct Dial: 213-617-4156

Re: Copy of RCE Patent Application and Related Documents

**MESSAGE:** Please see attached.

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(703) 872-9319

Telephone No.

From: James R. Brueggemann

Direct Dial: 213-617-4156

Re: RCE Patent Application & Amendment

MESSAGE: Please see attached.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted  
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James R. Brueggemann, Esquire

RCE Transmittal  
(including 2-Month Extension of Time Fee)  
Amendment

**SHEPPARD MULLIN**

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ATTORNEYS AT LAW

48th Floor | 333 South Hope Street | Los Angeles, CA 90071-1448  
213-620-1780 office | 213-620-1398 fax | [www.sheppardmullin.com](http://www.sheppardmullin.com)**FACSIMILE COVER SHEET****\*\* THIS FACSIMILE TRANSMISSION WILL NOT BE MAILED \*\***Date: November 4, 2003File Number: 07K8-101932Total number of pages:  
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to the United States Patent and Trademark Office on November 4, 2003.  
James R. Brueggemann, Esquire**RCE Transmittal**  
**(including 2-Month Extension of Time Fee)**  
**Amendment****Amendment Transmittal**Applicants: Russell E. Evans et al.Title: POLARIZED OPTICAL PART USING HIGH IMPACT  
POLYURETHANE-BASED MATERIALSerial No: 09/804,785 Filed: March 13, 2001Examiner: Lee Fineman Group Art Unit: 2872Fees: \$770.00 & \$420.00 to be charged to Deposit AccountOur Docket No.: 07K8-101932 Date Faxed: 11/04/03Client: Younger Optics Date Due: 11/04/03

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